# **BHARTIYA** Fashion

BHARTIYA INTERNATIONAL

LIMITED 38, Sector 44

## Date: 13th January, 2023

		Gurgaon 122 002
The Vice-President,	The General Manager,	New Delhi Capital Region India
Listing Department,	Listing Department,	T +91 124 488 8555
National Stock Exchange of India Ltd.	BSE Limited,	F +91 124 488 8500
Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. – C/1,	Floor -25, Phiroze Jeejeebhoy	E bhartiya@bhartiya.com
G Block, Bandra – Kurla Complex,	Tower, Dalal Street,	CIN L74899TN1987PLC111744
Bandra (E), Mumbai – 400051	Mumbai- 400001	
Fax – 022-26598237/38	Fax – 022-22722037/39/41/61	www.bhartiya.com
NSE Symbol: BIL/EQ	Scrip Code: 526666	

Dear Sir/Madam,

Pursuant to the requirement under the Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, Investor Grievance Report for the Quarter ended 31<sup>st</sup> December, 2022 is as under:

Investor Grievance pending at the beginning of the Quarter – 1 <sup>st</sup> October, 2022	
Investor Grievance received during the Quarter	1
Investor Grievance disposed of during the Quarter	
Investor Grievance unresolved at the end of the Quarter- 31 <sup>st</sup> December, 2022	1

This is for your information and record please.

Thanking you,

Yours sincerely, For Bhartiya International Limited

Yogesh Kumar Gautam (Company Secretary cum Compliance Officer) Securities and Exchange Board of India Welcome: Yogesh Kumar Gautam,Company Secretary,Bhartiya International Ltd



Complaint Search Change Password View Login Usage Edit Profile User Manual Logout

Last Successful Login on : Last Unsuccessful Login on :

#### Complaints Status as on: 09/01/2023

Total Complaints Received	6
Total Resolved Complaints	6
Total Pending Complaints	0
Total Complaints Withdrawn	0
ATR/REPLY Sent and Pending With SEBI	0
Review(s) received	Click Here
Direct complaint(s) received from investor	Click Here
New Complaints from SEBI (For Assessment)	
Clarification Sought By SEBI/Higher Organization	
Action Taken Report Received	
Action Taken Report Sent	
Pending Complaints(Within Our Office)	
Pending Complaints(With Investor)	
Pending Complaints(With Subordinate Organisations)	
Pending Complaints(With Subordinate Organisations - Atr not Received)	
Reminder/Clarification received from investor/SEBI	
Clarification sought from subordinate organisation	

Home |About Us |Contact Us |Privacy Policy | Terms of Use



MAS SERVICES LIMITED

Regd. Office : T-34 IIND FLOOR OKHLA INDUSTRIAL AREA PHASE-II NEW DELHI 110020 PHONE:- 011-26387281-83 FAX:- 011 – 26387384 E-mail:- info@masserv.com CIN:-U74899DL1973PLC006950

DATE: - January 5, 2023

To,

# BHARTIYA INTERNATIONAL LIMITED

### Dear Sir/Madam,

We hereby confirm the following status of the Investor Grievance during the period from 01-Oct-2022 to 31-Dec-2022 as following:-

Sr.No.	Nature of Correspondence	Pending at the beginning of qtr.	Recd during the qtr.	Disposed of during the qtr	Unresolved at the end of qtr
1.	Non receipt of Refund allotment	0	0	0	0
2	Non receipt of Div on shares	0	0	0	0
3	Non receipt of Share Cert. after trf/trm/spt etc.	0	0	0 .	0
4	Non receipt of Intrest on deb.	. 0	0	0	0
5	Non receipt of Sticker/Annual report	0	0	0	0
6	SEBI complaint	0	0	0	0
7	Complaint recd from NSDL/ CDSL	0	0	0	0
8	Complaint recd from Stock Exchange	0	0	0	0
9.	Complaint recd MCA/ROC	0	0	0	0
	TOTAL	0	0	0	0

Thanking you,

Yours Trully, For MAS Services Limited

# Shares

From:	Yogesh Gautam
Sent:	20 January 2023 15:13
To: Subject:	Shares FW: Complaint in the matter of Warrants issued by Bhartiya International Ltd.
Importance:	High

From: ACHAL SINGH <achals@sebi.gov.in>
Sent: 19 December 2022 10:31
To: Yogesh Gautam <yogesh.gautam@bhartiya.com>
Cc: Raj Chawla <raj.chawla@bhartiya.com>
Subject: Complaint in the matter of Warrants issued by Bhartiya International Ltd.
Importance: High

Dear Sir,

We note from Bhartiya International Ltd.'s letter dated April 14, 2017 to stock exchanges that warrants were issued to following entities;-

Name of allottee	No. of warrants allotted	Issue Price in INR	Upfront payment at the time of allotment of warrants (25%) in INR
Axis Training Institute P. Ltd.	1,50,000	521	1,95,37,500
Shankar Somani	75,000	521	97,68,750
Shailza Bansal	75,000	521	97,68,750

In respect of these allotments, please provide the following details:-

- (a) Your company's had made disclosure to stock exchanges dated February 15 2017 mentioning the name of above allottees for warrants at a price after approval from shareholders to be obtained by way of postal ballot. This implies that you had already shortlisted these investors at the time of letter to tock exchange. In this regard, please provide:-
  - (i) Name of person(s) (with contact details) who introduced these investors to your company or director of your company?
  - Please provide details of your company's/ director's correspondences (including emails) with person(s) referred at (i) that convinced you to inform stock exchanges of their names and later also seek approval from shareholders on March 22, 2017.

- (iii) Has your company paid any introduction fees or commission or brokerage to person referred at (i) above or any other person in respect of warrants allotted to above investors? If yes, details be provided.
- (b) Details of your company's or director's correspondences with these investors from start till date.
- (c) Details of funds received from these investors by your company.
- (d) Details of funds returned back by your company to these investors by your company.
- (e) Copy of ledger account of these investors in your book of accounts from 2016-17 onwards.

These details be provided latest by **December 22, 2022**.

Thanks & Regards,

Achal Singh General Manager SEBI-CFD SEC-1